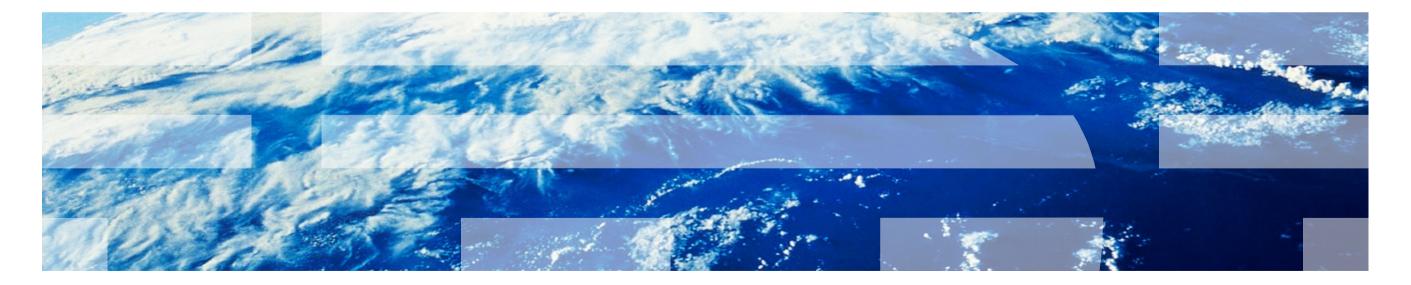


Konuşma Tanıma: Geriye ne kaldı? (Speech Recognition: What's Left?)

Dr. Michael Picheny Senior Manager, Speech Technologies IBM Research Al IBM TJ Watson Research Center





Bu konuşmayı yapmak üzere beni davet ettiğiniz ve misafirperverliğiniz için teşekkür ederim!



Inspirations for this Talk



- My two thesis advisors at MIT, Nat Durlach (left, deceased) and Lou Braida (right) (1993)
- Both honored at the Acoustical Society of America in Boston (June 2017) with two special sessions
- Fundamental contributions in Psychoacoustics and Sensory Communication Aids
- Taught me how to scientifically assess aspects of human perception
- Learned how to do research from them to be thorough and to question

IBM has a Long History of Innovations in AI











First working chess program

First demonstration of machine learning (checkers) First demonstration of neural network with reinforcement learning in complex domain (TD-gammon)

First computer to defeat world chess champion (Deep Blue) First computer to defeat best human Jeopardy! Players (Watson)

Bernstein (1957)

Samuel (1959, 1967)

Tesauro (1995)

Campbell, Hoane & Hsu (1997)

Ferrucci, et al. (2011)

Some AI challenges we are tackling today at IBM Research AI

Media



Create highlights of sports events

Compliance



Is my organization compliant with latest regulatory documents

Industrial



Guide me through fixing malfunctioning components

Visual Inspection



Find rust on electric towers, using drones

Customer Care

Marketing / Business

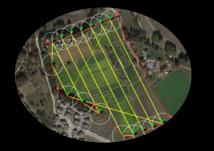


Bot that can guide a user through buying the right insurance policy



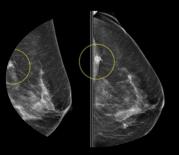
Summarize the strategic intent of a company based on recent news articles





Predict yield of field based on images and sensor data

Healthcare

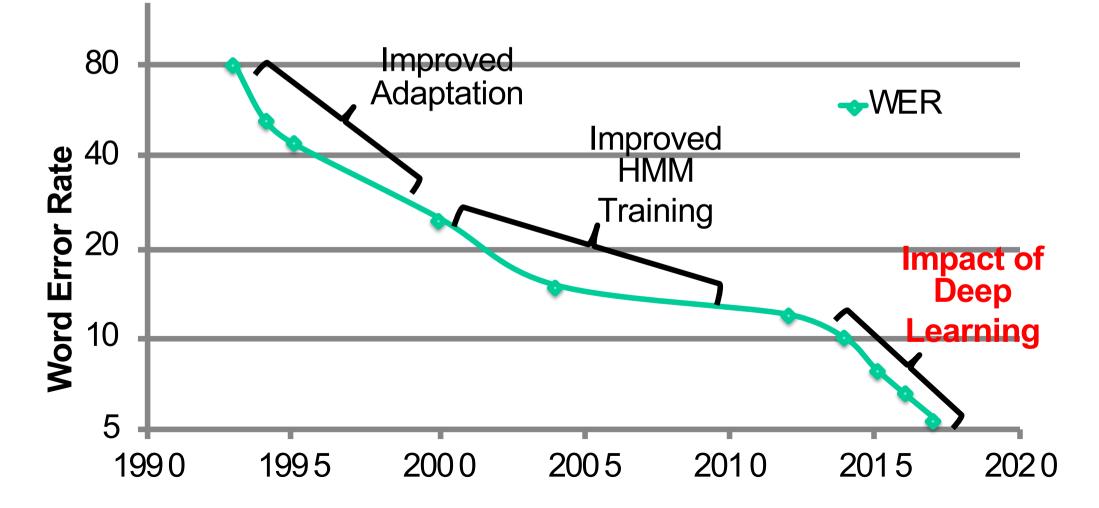


Improve the accuracy of breast cancer screening



Historical Performance in Speech Recognition

- Task is transcription of "SWITCHBOARD" Human-Human Landline Telephone conversations on directed topics
- SWITCHBOARD is a popular public benchmark in the Speech Recognition Community
 - Difficult enough to present challenges but clearly understandable by humans





Why has Speech Recognition Proven so Difficult?



Channel Variation

Accent





Background Noise

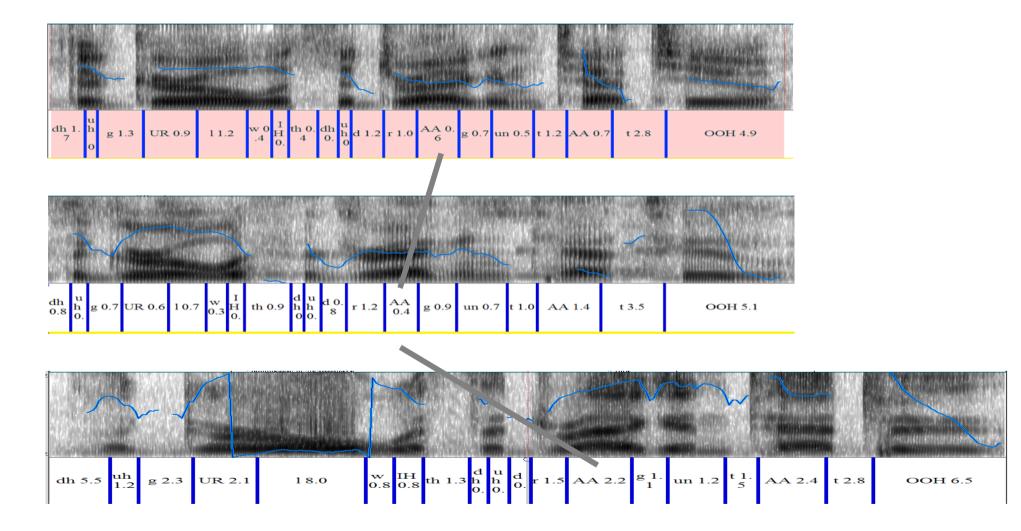


Speaking Style





Huge Acoustic Variability for Same Underlying Text



"The Girl with the Dragon Tattoo"

Inherent variability of Speech biggest challenge



Basic Formulation of Speech Transcription Problem

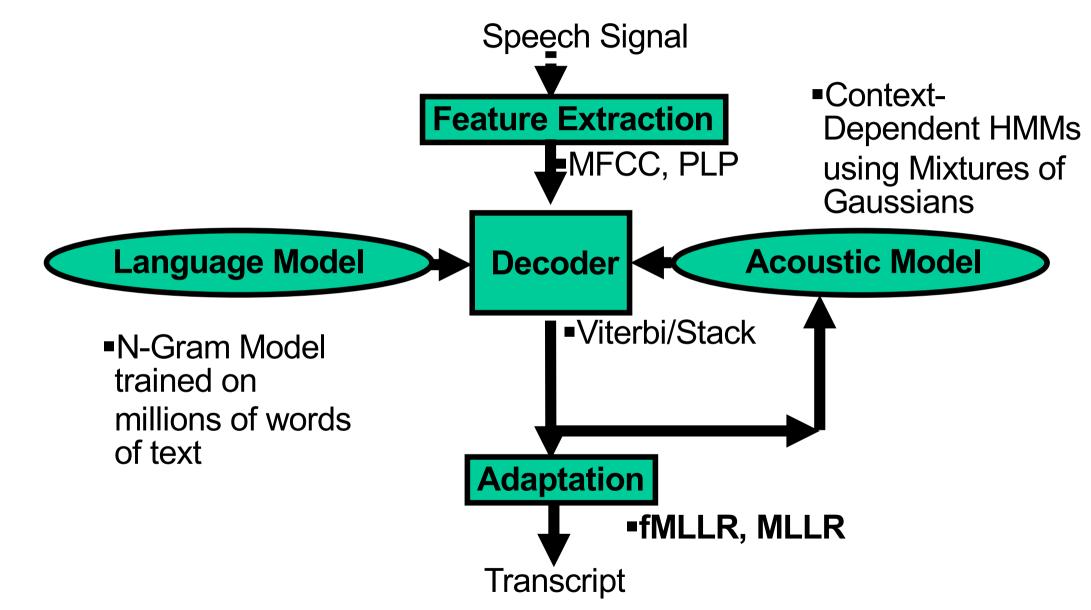
Choose W to maximize:

$$P(W|X) = P(X|W) P(W)$$

W = vocabulary
 X = extracted features from the speech signal
 P(X|W) = Acoustic Model
 P(W) = Language Model

Hypothesis Search

Traditional Speech Recognition System (pre-2011)





How do we build a transcription system? (vocabulary)

- Choosing a vocabulary
 - -Take a lot of text, count number of words, take most frequent
 - -Can also look at intersection of frequently occurring words in diverse corpora (e.g., news stories vs conversations)
- Lexicon (Mapping from word spellings to pronunciations) issues

 Words may have multiple pronunciations Tomayto vs Tomahto
 Pronunciation hard to predict from orthography e.g. "through"
 - -Text may have misspelings (err....mispellings ^(©))



How do we build a transcription system? (vocabulary, "W")

Language issues

-Arabic written w/o vowels

- صباح الخير •
- "Good Morning"

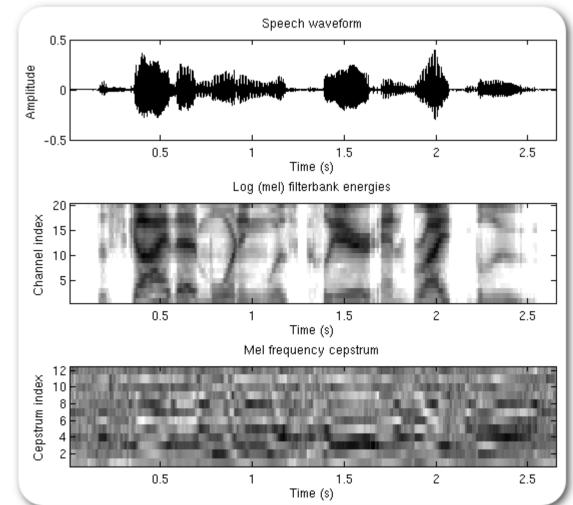
-Chinese written w/o white spaces between words

- •中国圈养大熊猫今年将迎来历史上最好的繁殖期,目前已经有三十只大熊猫成功配对
- "This year will usher in the best breeding season in history for giant pandas in captivity, so far 30 giant pandas have been successfully paired."
- Recognizer cannot produce words outside vocabulary
 - -Depending on task, vocabulary sizes from 5000-500000 words common
 - -Computation does not grow linearly because many words share parts of other words
 - "house" vs "houseboat"



How do we build a transcription system? (features, "X")

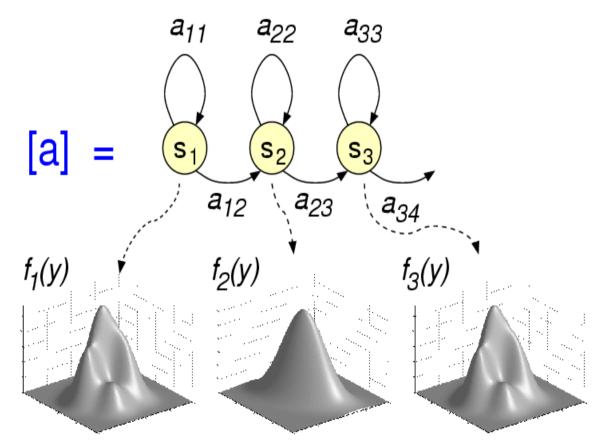
- Extract time-frequency features that are related to processing in human auditory system ("Mel Frequency Representation", "Perceptual Linear Prediction", etc.)
- De-Correlate the features to allow for easier modeling ("MFCCs",)
 Usually augmented with time derivatives of features





How do we build a transcription system? (Acoustic Model "P(X|W)")

Hidden Markov Models

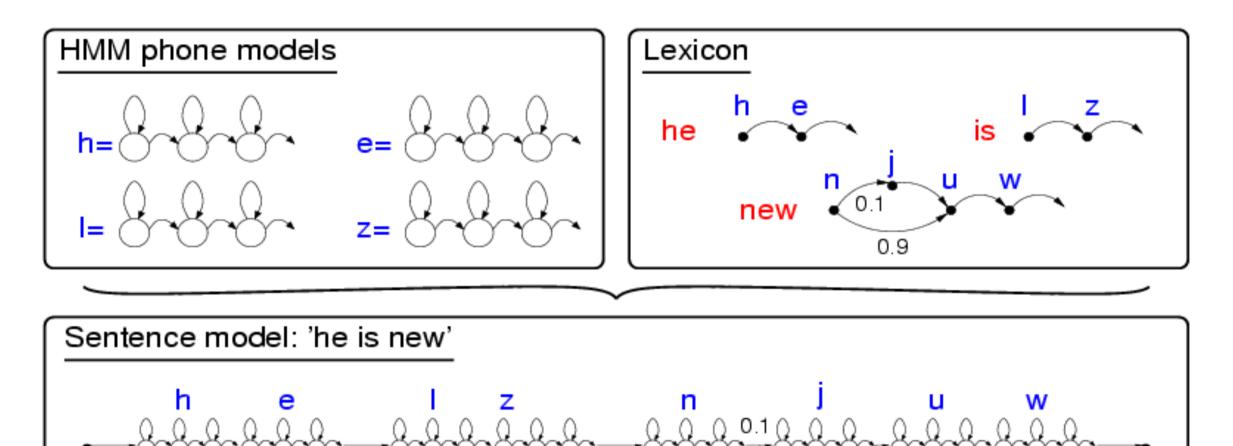


Every time you take a transition, you output a feature vector x



How do we build a transcription system? (Acoustic Model)

start



0.9

end



How do we build a transcription system? (Acoustic Model)

- Build models for different sounds in different contexts
- Efficient algorithms exist to train the models from a set of transcripts and data
- Push-button toolkits exist that enable easy creation of such models.
- Additional enhancements include training algorithms targeted at improving discrimination power across words and phones rather than just increasing the likelihood of the training data.



How do we build a transcription system? (Language Model "P(W)")

$$P(\omega = W_1 \cdots W_l)$$

$$= P(W_1)P(W_2|W_1)P(W_3|W_1W_2)\cdots P(W_l|W_1\cdots W_{l-1})$$

$$= \prod_{i=1}^l P(W_i|W_1\cdots W_{i-1})$$

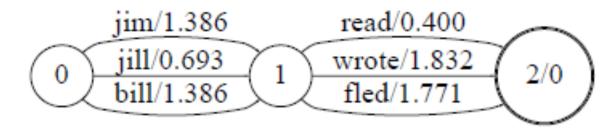
 Markov assumption: identity of next word depends only on last n – 1 words, say n=3

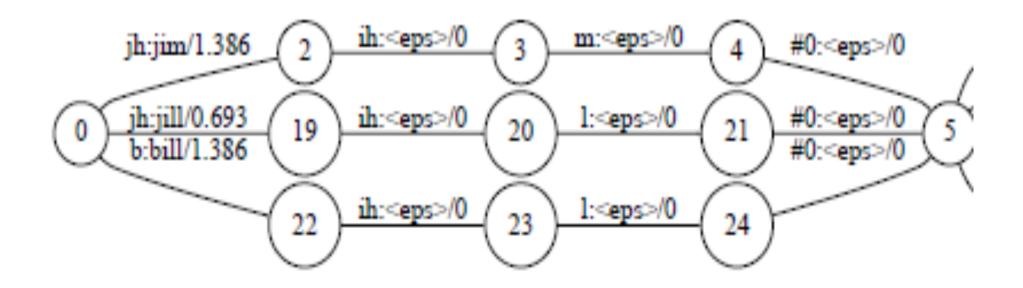
$$\mathsf{P}(\mathsf{W}_i|\mathsf{W}_1\cdots\mathsf{W}_{i-1})\approx\mathsf{P}(\mathsf{W}_i|\mathsf{W}_{i-2}\mathsf{W}_{i-1})$$

- Count the number of times a word occurs after a series of n words. Each separate context is called an "N-Gram"
- Typically built from millions or even billions of words of text. Small 4M N-Grams
- Interesting to note that a single acoustic model suffices for a wide variety of applications but different LMs are needed for different situations



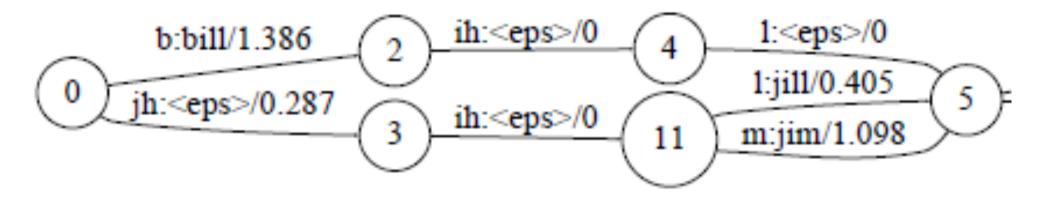
How do we build a transcription system? (Hypothesis Search)







How do we build a transcription system? (Hypothesis Search)

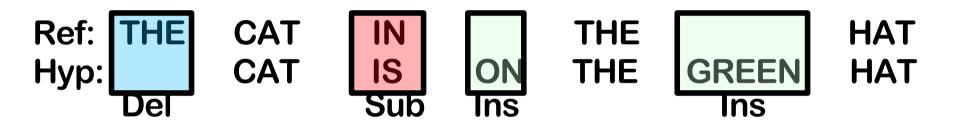


- Compile all knowledge sources into large graph, and simplify
- Efficient algorithms exists to search the graph.
- Some systems make multiple passes over the data with progressively more sophisticated models to reduce the overall computation
- Performance improvements can result by combining results of multiple systems together



Performance Metrics: How do we know if we are doing well?

- Obvious Success Metric Word Error Rate (WER):
 - 100 x (Substitutions + Deletions + Insertions) / (Total Words in Reference transcripts)



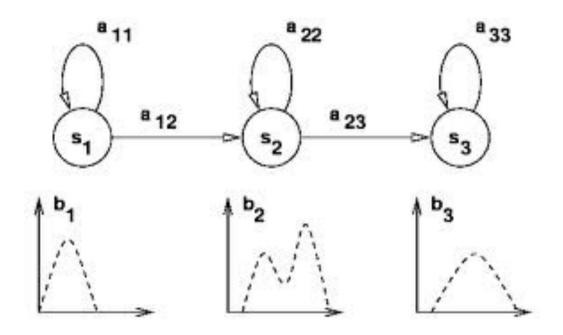
Error rate = $100 \times (1 \text{ S} + 1 \text{ D} + 2 \text{ I}) / 5 = 80\%$



Neural Networks: Most Recent Driver of Improvements in Speech Recognition

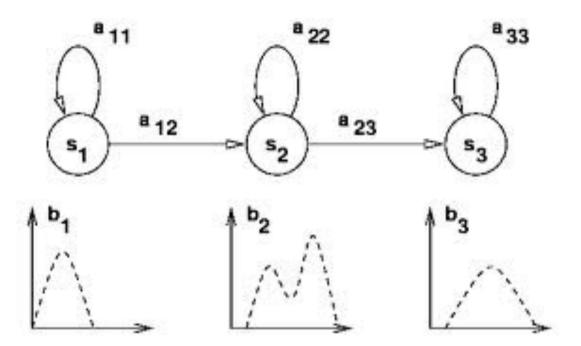
Review:

- The acoustic model in speech recognition predicts p(x|w), the probability that a word w produces a sequence of observed feature vectors x
- A word is modeled as a sequence of phones using 3-state Hidden Markov Models; each HMM state corresponds to a context-dependent subphone unit ci.
- Traditionally, the output distribution in each state has been modeled by a Gaussian Mixture Model (GMM) trained to maximize likelihood or discriminability.





Neural Networks: Most Recent Driver of Improvements in Speech Recognition



Neural Networks can also be used for acoustic modeling instead of GMMs

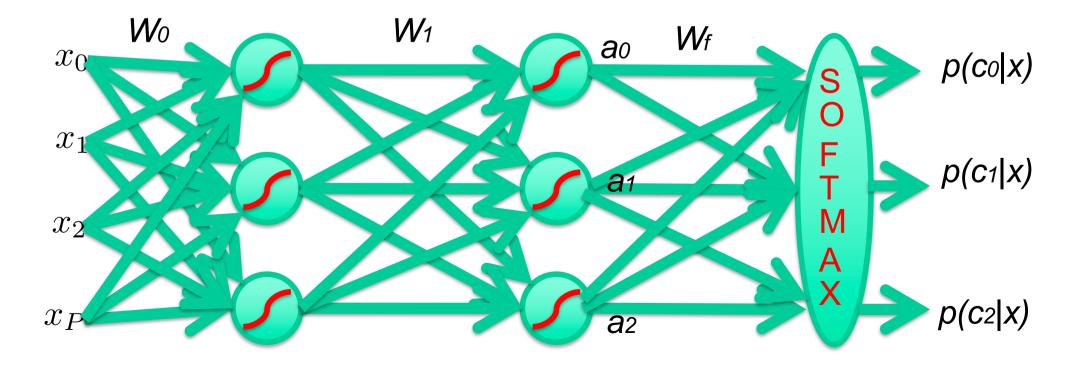
 Was originally tried in the early 1990s but until the onset of Deep Learning could not be
 made to perform as well as the GMMs

IBM

Multi-Layer Neural Network (aka "Feed-Forward" or "Deep Neural Network" – DNN)

- Neurons arranged in sequence of layers
- First layer inputs are the feature vector components (MFCC, PLP, etc)
- Final layer predicts the posterior probabilities of the sub-word classes *ci*

$$p(c_i|x) = \frac{\exp\left(-(W_f \vec{a})_i\right)}{\sum_{j=1}^n \exp\left(-(W_f \vec{a})_j\right)} \quad \text{"Softmax"}$$





Training DNNs and Using them to Replace GMM Likelihoods

Weights W in Neural networks are trained to minimize Cross-Entropy (CE) objective function

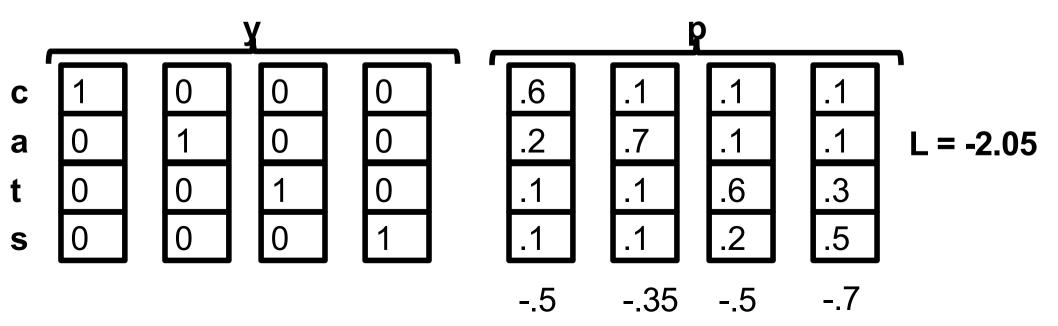
$$L = -\sum_{i=1}^{N} y_{it}^{ref} \log p(c_i | x_t)$$

• $p(c_i | x_t)$ is the posterior probability that subphone c occurred at time t.

• y_t^{ref} is the target vector at time t.

-"1" hot vector indicating occurrences of subphones over time.

-Reference occurrences determined by alignment against set of existing models





Training DNNs and Using them to Replace GMM Likelihoods

- Training done using Stochastic Gradient Descent using back-propagation algorithm with computations migrated to GPUs for speed.
- NN gives posterior $p(c_i|x)$ so divide by class prior for subphone unit c_i to get likelihood

$$p(x|c_i) \sim \frac{p(c_i|x)}{p(c_i)}$$

• NN likelihood can then replace the GMM likelihood as output distribution in the HMM (socalled "Hybrid" NN Acoustic Model)



Factors Affecting Neural Network Performance

Number of Predicted Subphone Units	WER
384	21.3
512	20.8
1024	19.4
2,220	18.5

Depth	WER	
1	22.9	
2	20.4	
3	19.0	
4	18.1	
5	17.8	
7	17.4	

IBM Enhancement #1: Sequence Training

- Cross-entropy frame-based objective ignores that we are really interested in word/sentence discrimination, not frame discrimination
- Idea: Switch to a sequence criterion as an objective function

Frame based NN parameter Gradient update:

$$\frac{\partial L}{\partial a_t} \sim \sum_{i=1}^N p(c_{it}|x_t) - y_{it}^{ref}$$

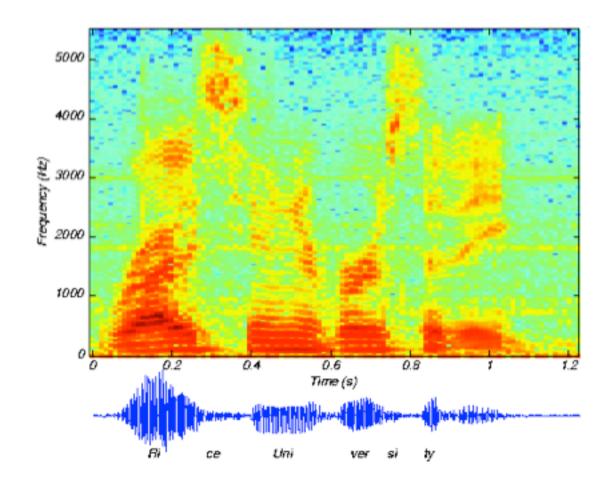
Sequence based NN parameter Gradient update:

$$\frac{\partial L}{\partial a_t} \sim \sum_{i=1}^N p(c_{it}|x_1 \dots x_t \dots x_T) - p(c_{it}|x_1 \dots x_t \dots x_T, w_1 \dots w_L)$$

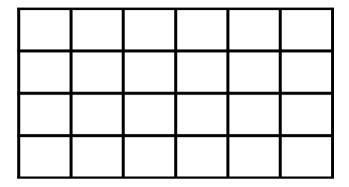
[King2012]

IBM Enhancement #2: Convolutional Neural Networks (CNNs)

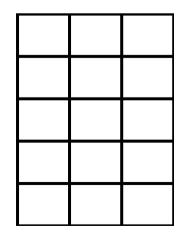
- Spectrographic representation clearly demonstrates speech is locally correlated in time and frequency.
- Idea: Try to construct a neural network that is designed to specifically capture these sorts of local correlations

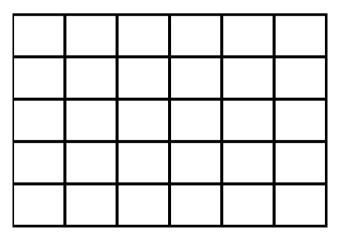




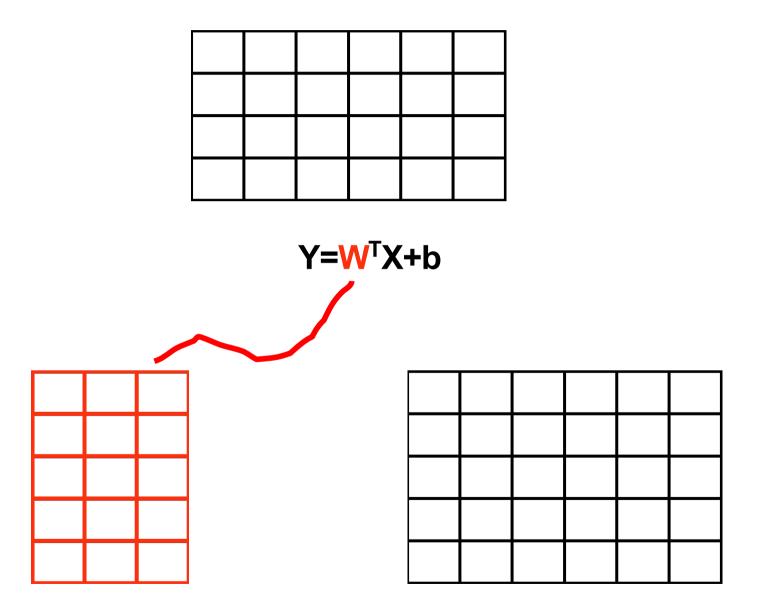


Y=W^TX+b

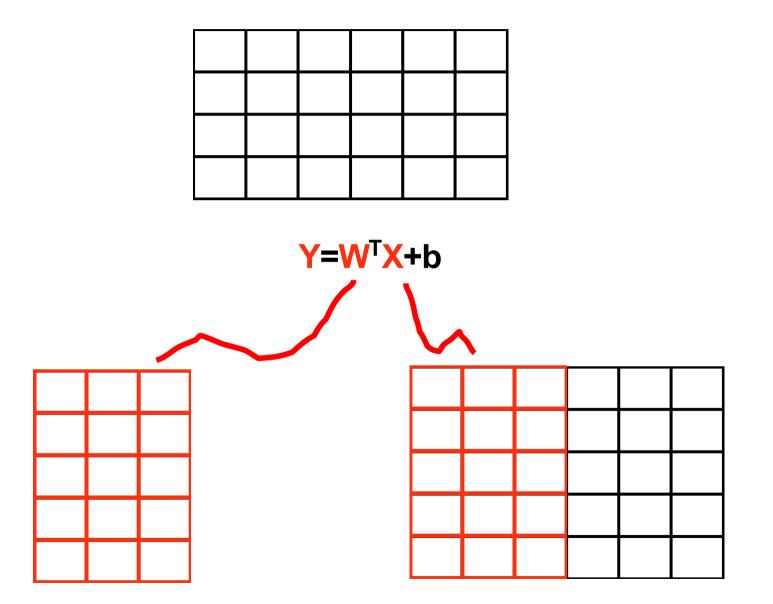




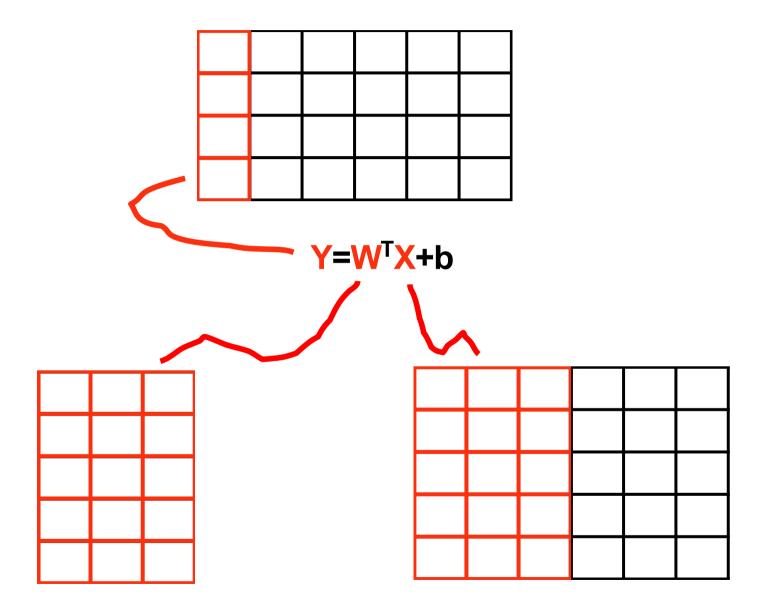




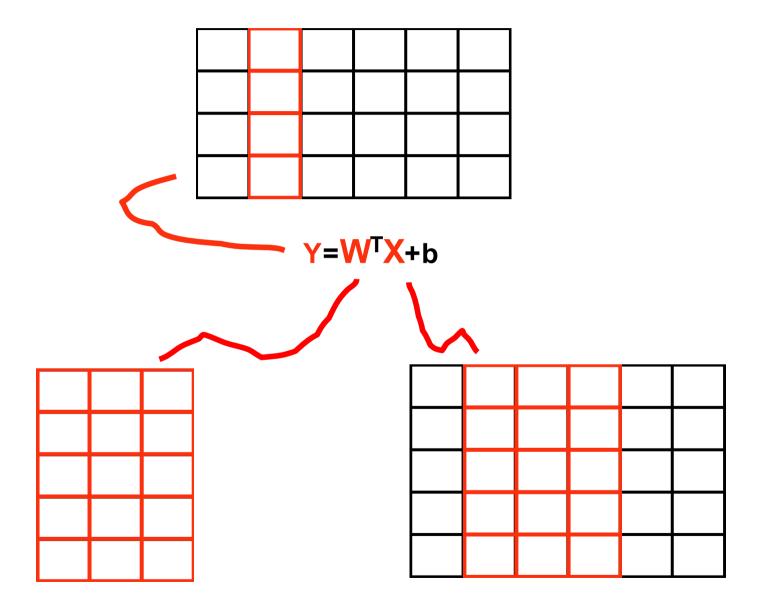






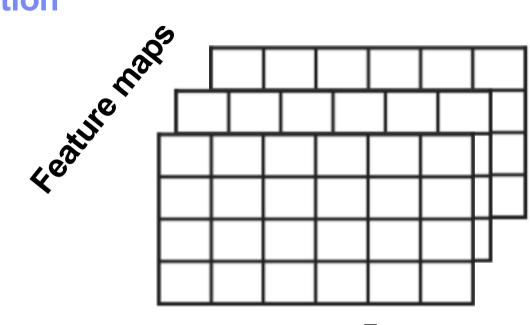




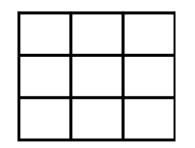




CNN Weight Multiplication

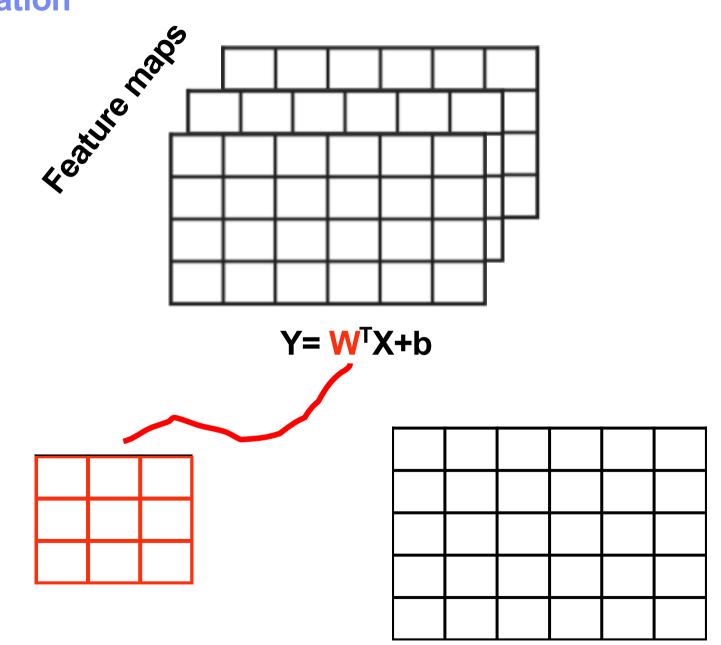


Y=W^TX+b



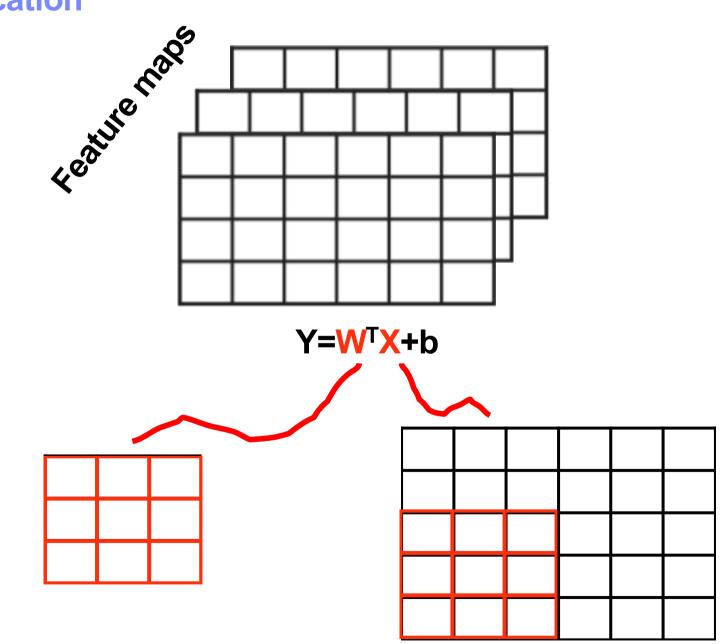


CNN Weight Multiplication

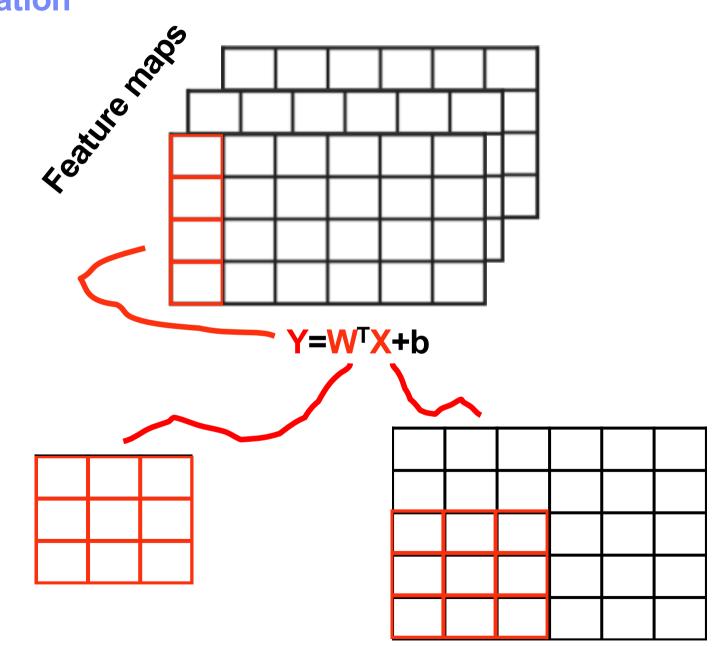




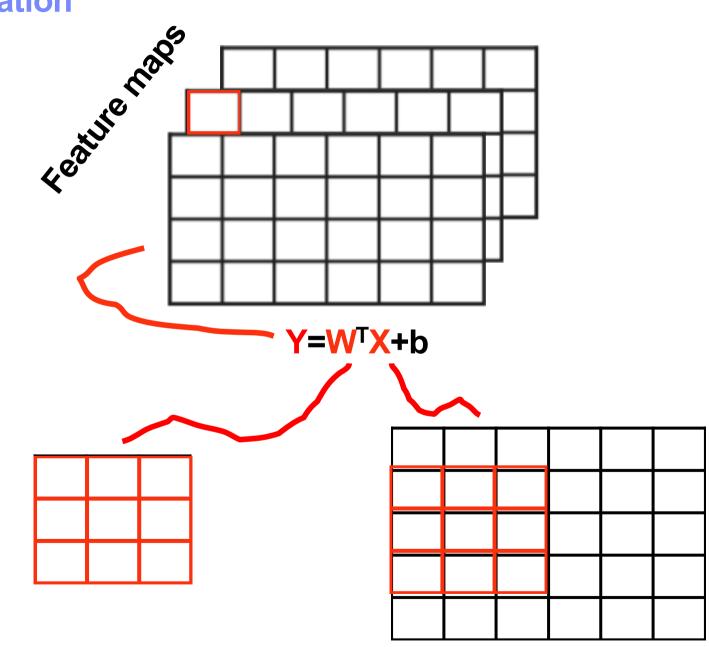
CNN Weight Multiplication



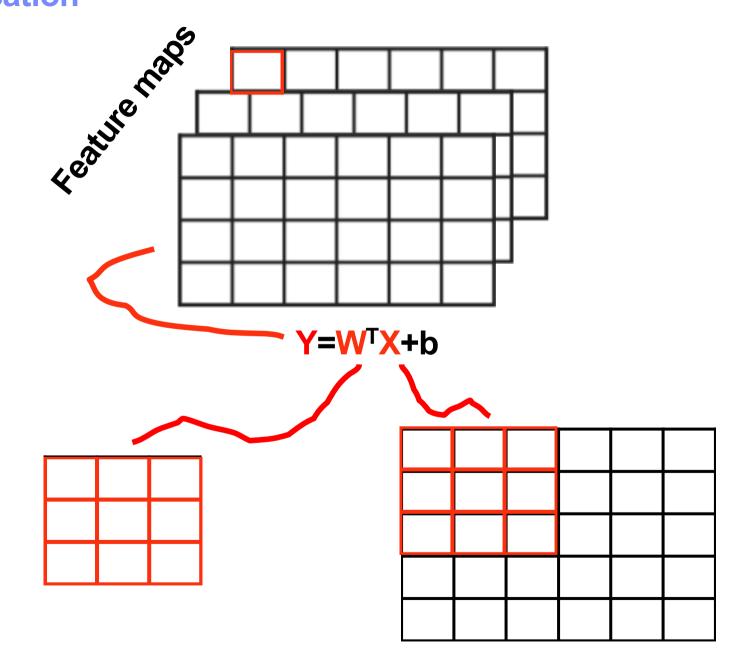




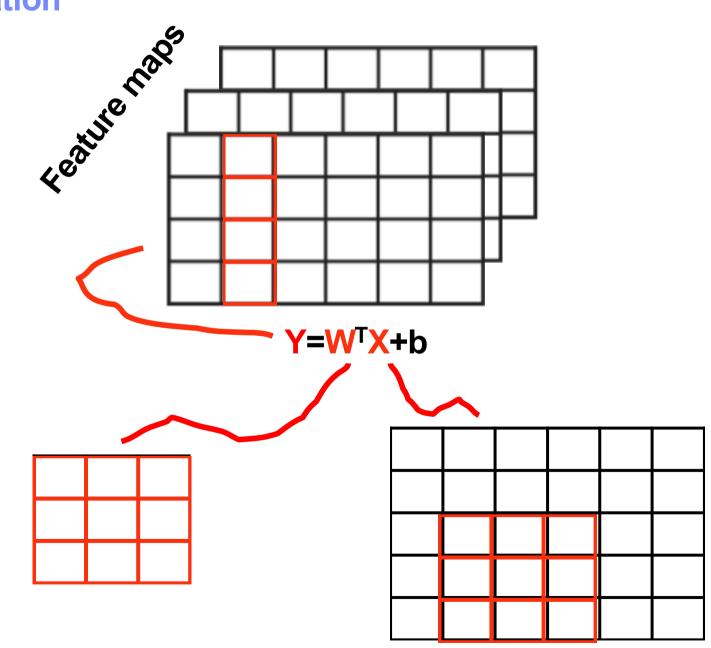














And what does all this do for us?

Results on SWITCHBOARD corpus...

System	300 Hours Training Data		2000 Hours Tr	aining Data
	Cross-Entropy	Sequence	Cross-Entropy	Sequence
GMM	14.5			
DNN	14.1	12.5		
CNN	13.2	11.8	12.6	10.4

Remember this!

[Saon2014, Rennie2014] IE

Recent Enhancements: Unfolded Recurrent NNs

- Feed-forward NNs have no memory over time: time traditionally captured with an HMM.
- A NN model for time varying behavior is an RNN:

 $\mathbf{y}_{t} = p(\boldsymbol{c}|\boldsymbol{x}_{t}) = \operatorname{softmax}(\mathbf{W}_{hy}\tilde{\mathbf{h}}_{t})$ $\mathbf{h}_{t} = \sigma(\mathbf{W}_{xh}\,\mathbf{x}_{t} + \mathbf{W}_{hh}\tilde{\mathbf{h}}_{t-1})$

Above is iterated from 1 to T (number of input vectors)

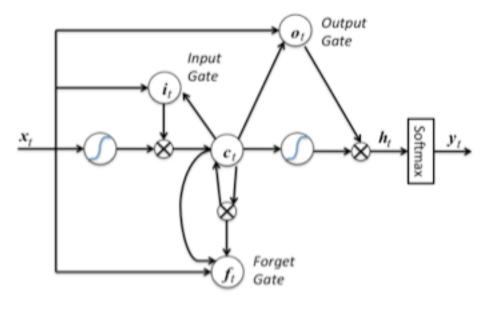
• For a simple RNN architecture as described above, it is possible to perform frame unrolling:

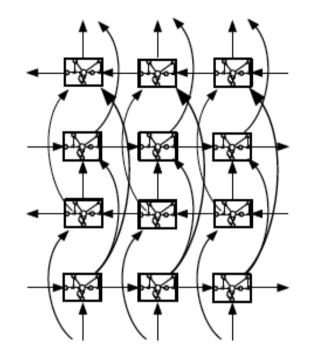
$$\mathbf{h}_{t} = \sigma(\mathbf{W}_{xh} \mathbf{x}_{t} + \mathbf{W}_{hh} \mathbf{h}_{t-1})$$

= $\sigma(\mathbf{W}_{xh} \mathbf{x}_{t} + \mathbf{W}_{hh} \sigma(\mathbf{W}_{xh} \mathbf{x}_{t-1} + \mathbf{W}_{hh} \mathbf{h}_{t-2}))$
...
= $\sigma(\mathbf{W}_{xh} \mathbf{x}_{t} + \mathbf{W}_{hh} \sigma(\dots + \mathbf{W}_{hh} \sigma(\mathbf{W}_{xh} \mathbf{x}_{1} + \mathbf{W}_{hh} \mathbf{h}_{0})))$

- Effectively converts recursive network to a feed-forward network
- Permits leveraging of pre-existing training infrastructure

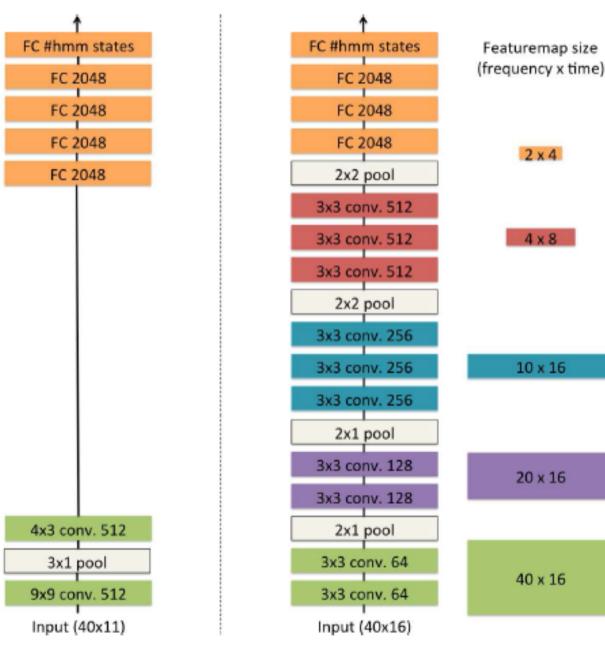
Recent Enhancements: LSTM Networks





- In the RNN, the gradients decay exponentially in time making it hard to capture long term dependencies
- The LSTM ("Long-Short-Term-Memory") network adds trainable gates that allow information to be stored for long periods of time.
- Best systems employ bidirectional LSTMs 4/5 layers now typical

Recent Enhancements: VGG Networks



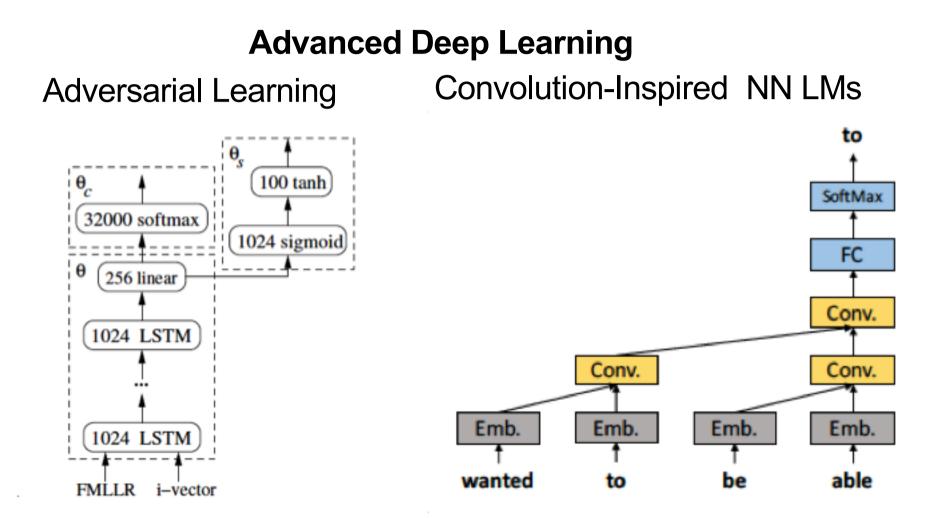
Language Modeling Improvements

All previous results used a 4-gram LM with 4M ngrams and a vocabulary of 30.5K words

Enhancement: Combine Three LMs with a vocabulary of 85K words

- •4-gram with 36M n-grams
- •Feed-forward neural network LM
- •MaxEnt class-based LM called ("Model M")
 - $p(w_j | w_{j-1} w_{j-2}) = p(w_j | c_j w_{j-1} w_{j-2}) \times p(c_j | c_{j-1} c_{j-2} w_{j-1} w_{j-2})$

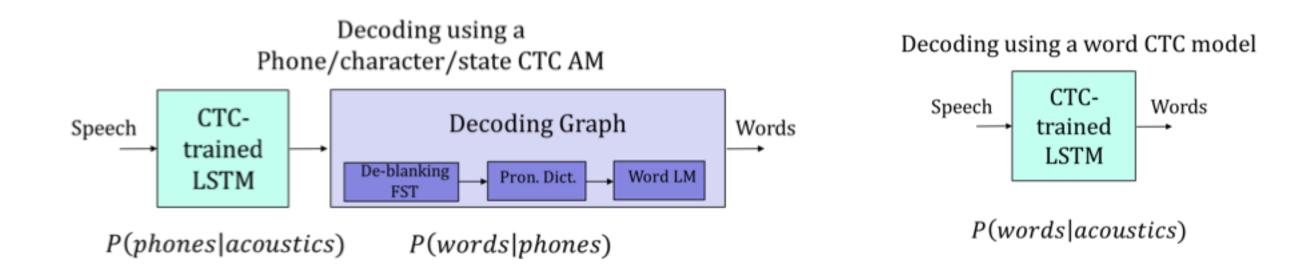
2017 Progress in Speech Recognition





Direct Acoustics-to-Word Automatic Speech Recognition

- New direction eliminating all modeling assumptions relying purely on Deep Learning
- Scalable: Formerly large complex speech engine reduced to single NN architecture



Conventional sub-word based ASR uses phones, dictionary, and language model during decoding \rightarrow not end-to-end.

Direct acoustics-to-word ASR uses no dictionary, language model, or decoder → True endto-end



Impact of Deep Learning

Model	Word Error Rate	Described in IBM Publication
1. CNN	10.4	[TS2013b]
2. RNN	9.9	[Saon2014,Saon2015]
3. VGG	9.4	[Sercu,2016]
4. RNN+VGG+LSTM	8.6	[Saon,2016]
5. (4) +More Ngrams+ModelM	7.0	[Chen2009, Saon2016]
6. (4) +More Ngrams+ModelM +NNLM	6.6	[Mangu2007, Chen2009, Saon2016]
7. Adversarial Learning + Resnet + LSTM	6.7	[Saon2017]
8. (7) + (6) + LSTM LMs + Wavenet LM	5.5	[Saon2017,Kurata2017]

IBM

How Well do Humans Do?

	WER SWB
Transcriber 1 raw	6.1
Transcriber 1 QC	5.6
Transcriber 2 raw	5.3
Transcriber 2 QC	5.1
Transcriber 3 raw	5.7
Transcriber 3 QC	5.2



So Are We Done?

Results strongly tailored to this individual corpus

- -Trained on 2000 hours of strongly targeted data both for LM and for AM
- -Relatively high quality (if telephony based) speech
- -Relatively accent free
- -Nature of conversations somewhat stilted

So Are We Done?

What happens when speech systems have to deal with variations in

Corpus	WER Relative Increase
LDC-Switchboard	x1.0
LDC-Broadcast News	x1.4
LDC-Call Home	x2.0
Customer-Agent	x2.1
Emotional Speech	x2.8
Noisy Speech	x3.4
Accented Speech	x3.4
	LDC-Switchboard LDC-Broadcast News LDC-Call Home Customer-Agent Emotional Speech Noisy Speech

- We know that task specific data would help a lot, but do we really have to put in this level of effort for each language for each domain?
- And what are human abilities in terms of being able to cope with these variations?

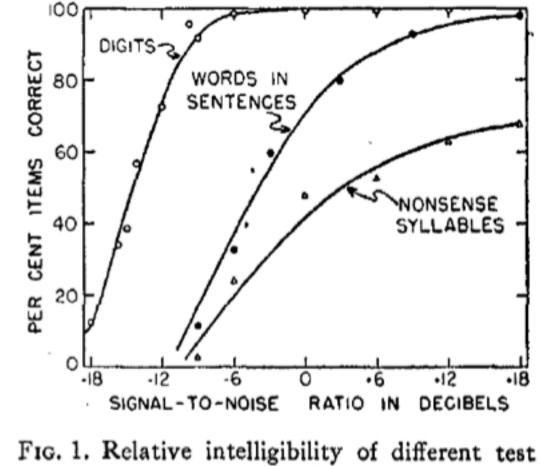


Rest of Talk

- Look at following areas
 - -Noise
 - -Speaking Style
 - -Accent
 - -Domain Robustness
 - -Language Learning Capabilities
- Review state of human and machine performance in these areas
- Goal: Try to make the case that we have a long way to go in speech recognition so let's keep doing research!



Perception of Noisy Speech

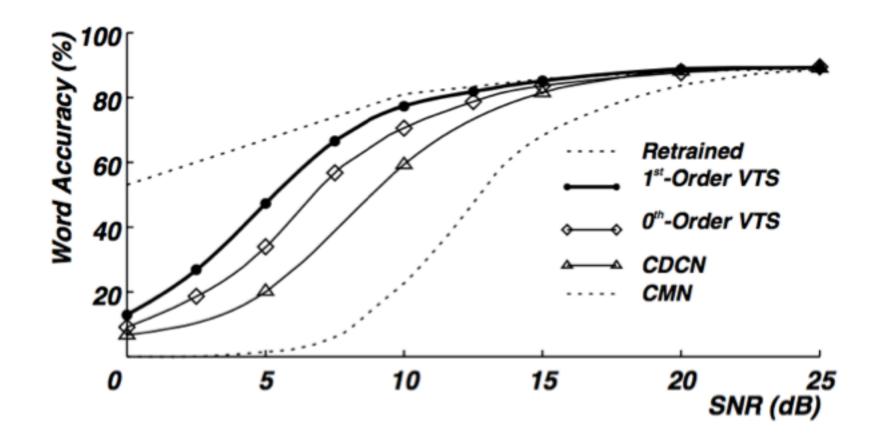


materials

- Intelligibility depends on the predictability of the materials
- Starts decreasing at 10 dB SNR; 0% by -7 db SNR

Recognition of Noisy Speech

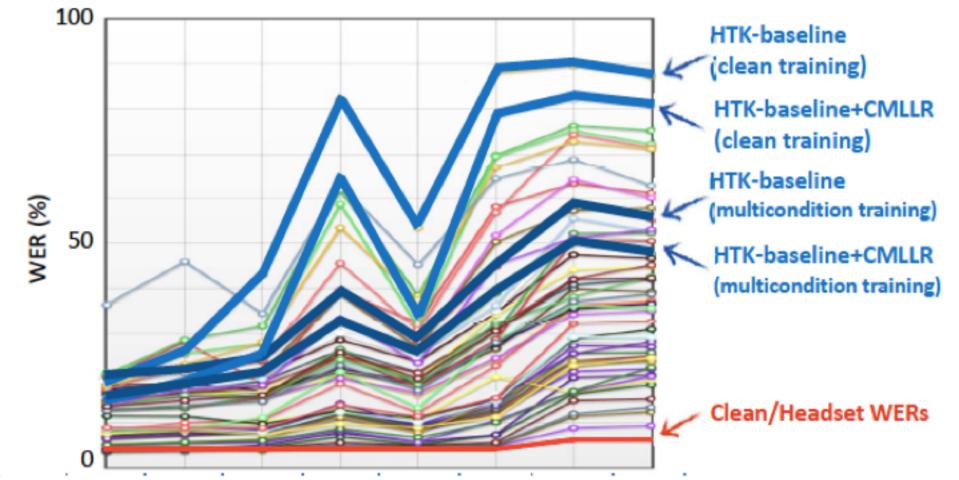
Results on WSJ-84, 5000 word vocabulary test set.

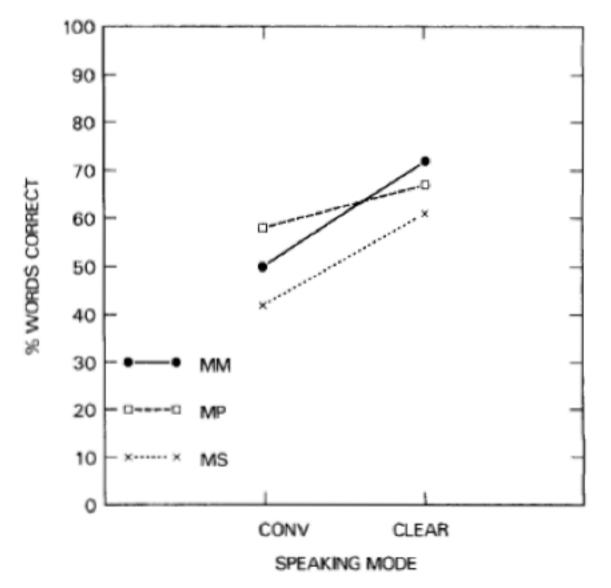


- Typical feature-based methods start losing accuracy at 10 dB; reaches chance by 0 dB
- Multi-style training maintains robustness over larger SNR ranges.

More Recent Results in Noisy Speech Recognition

- Deep Learning improves speech recognition performance but no special advantage seen for noisy/reverberant speech.
- Recent Noisy/Reverberant Speech Challenges (REVERB, CHIME. ASPIRE) achieve best results by combining a variety of techniques
 - -Multimike processing, Multistyle training, Multiple systems





Informal speech is harder to recognize than clearly articulated speech

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[Picheny1985] IBM

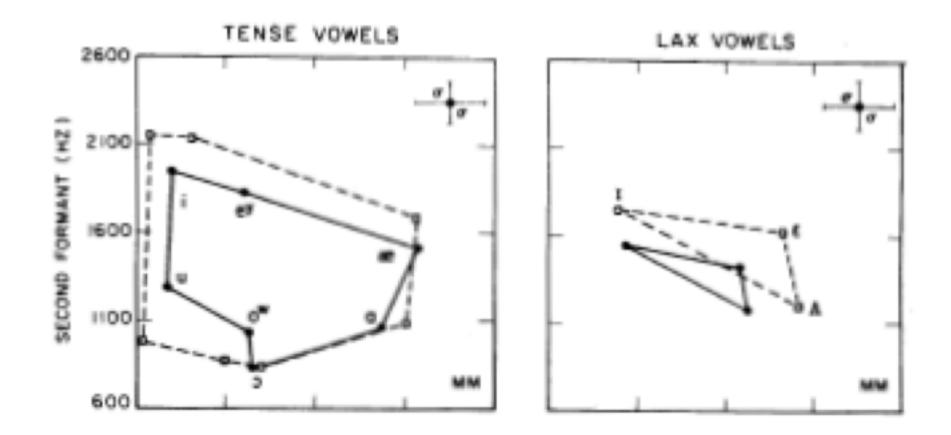
Speaker	Conversational speech	Clear speech
ММ	205 (3.9)	101 (1.9)
MP	160 (3.0)	91 (1.7)
MS	199 (3.8)	101 (1.9)

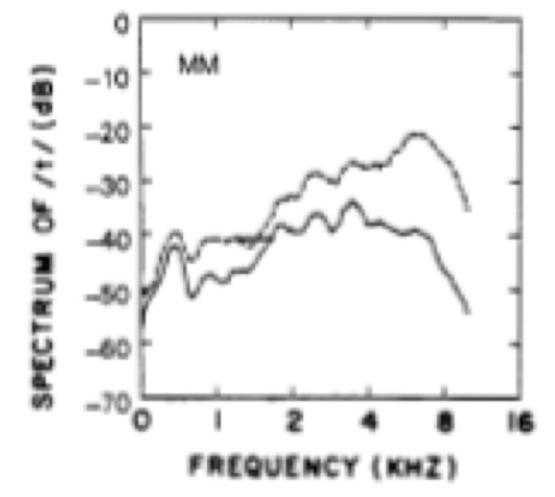
TABLE 1. Speaking rates (words/min) for all 3 speakers.

		M	M		
	Conv		Cl	Clear	
Phonological type	Con	Fun	\overline{Con}	Fun	
VM	28	88	18	47	
BE	39	9	8	9	
DG	6	1	0	1	
AF	4	5	2	1	
SI	1	0	38	0	
MSD	9	13	2	6	

TABLE 2. Phonological phenomena occurrences.





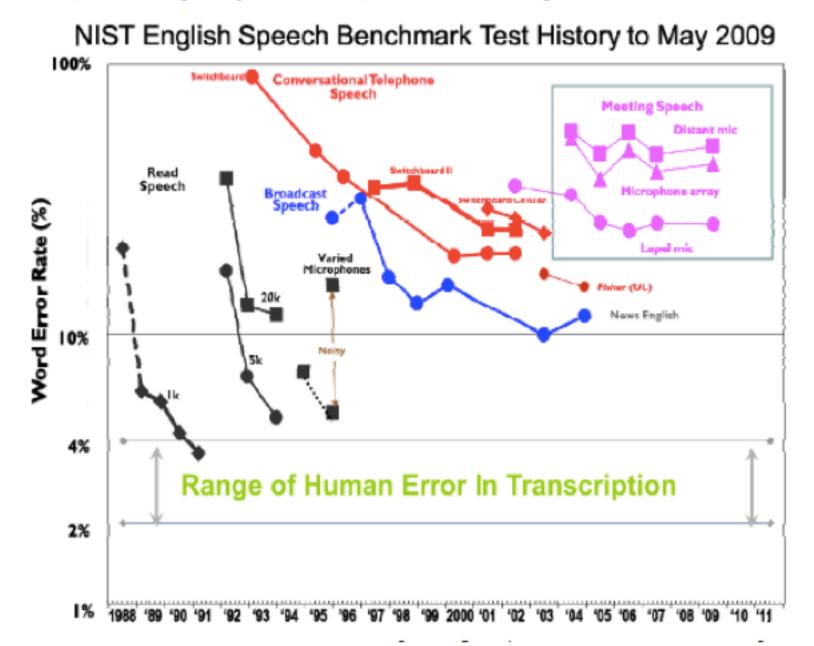


- Significant acoustic changes when you speak conversationally
- Impacts both human and machine recognition performance

[Picheny1986]

[Harper2015] IBM

Effect of Speaking Style on Speech Recognition Performance



Effect of Speaking Style on Speech Recognition Performance

- Speaking style clearly affects speech recognition performance
- In order of difficulty: read speech, formal speech, person-to-person speech, many-person (meeting room) speech

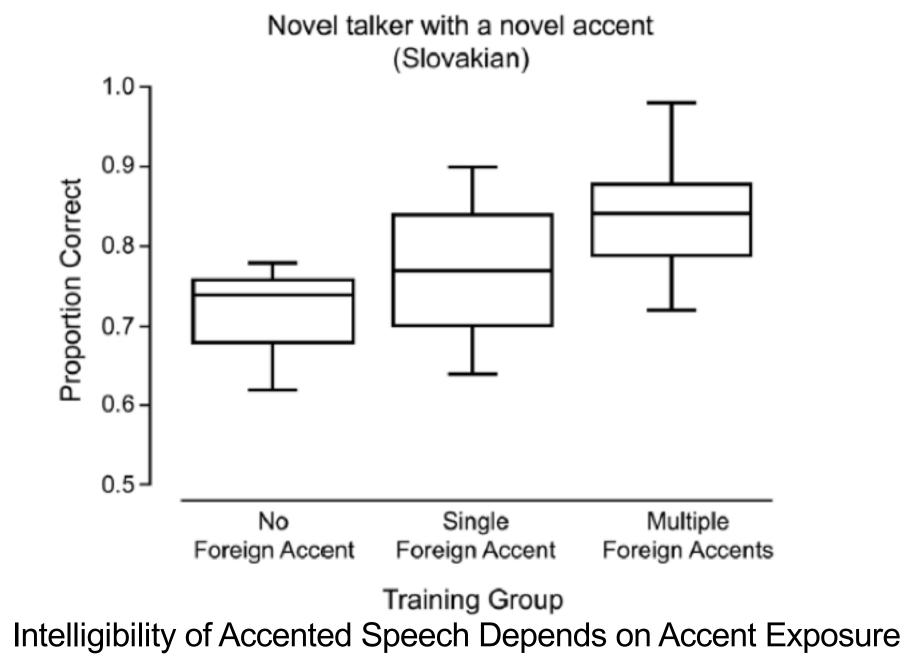
System	AMI
BMMI GMM-HMM (LDA+STC, SAT)	29.6
DNN – Sigmoid	26.6
DNN – ReLU	25.5
DNN – Maxout	26.3
CNN – Sigmoid	25.6
CNN – ReLU	24.9
CNN – Maxout	25.0

Table 4. Word Error Rates (%) on AMI – IHM

- Meeting speech clearly difficult, even with recent DL advances
- Unlike SWB; no human benchmarks exist



Perception of Accented Speech



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Recognition of Accented Speech

Train on lots of data and Leverage Grapheme Knowledge

Model	Indian Accent (US)
EnUs CTC-P	15.2
EnUs Adapted Multi-Dialect CTC-P	11.2
Multi-Dialect HCTC-G	8.5
EnUs Adapted Multi-Dialect HCTC-G	8.7

Table 4: WER (%) performance of various models on an Indian accented US queries test set.

Need lots of data to train (have ~3000 hours per accent here (!)) Grapheme effects may be unique to English



Domain Robustness

- Systems now are trained on thousands of hours of speech and billions of words of text. Humans recognize a large variety of contexts by the time they are 20.
- How much speech does a person typically hear by the time they are 20?
 - -Yahoo answers: A Human usually hears about 50000 words a day and you use about 25000 a day depending on how talkative you are
- By 20 have heard 365, 000,000 words (!) give or take a factor of 4 ☺. At 2.5 words a second, this is about 25,000 hours of speech.



Domain Robustness

 How many words does a person typically read by the time they are 20? -https://techcrunch.com/2009/12/09/study-americans-consume-34-gigabytes-of- information-per-day/ "Americans consume 100,000 words per day on average. That includes all words read, all words heard, etc."

-~365,000,000 words in 20 years (taking half of above)

Not unreasonable to be training systems on at least 10000 hours of speech....but implies 400M words of exposure may be enough to understand all domains...so why do our language models need billions of words?

Value of Domain Adaptation to Speech Recognition

	Healthcare	Insurance	Hospitality
System	.5 hrs	3 hrs	140 hrs
Baseline	31.0	24.8	13.8
+ AM-Unsupervised	22.0	23.4	
+ AM-Supervised	16.5	21.9	10.0
+ LM	12.8	19.5	10.8
+ AM-Supervised	9.6	18.9	9.4

- Domain Adaptation Helps a Lot, particularly LM adaptation
- Not that much data is needed per domain on top of a good base
- Unclear how many domains can be simply interpolated together
 - Do we need more work on dynamic adaptation method?
 - Have been attempts in the past, but on much older technology bases.



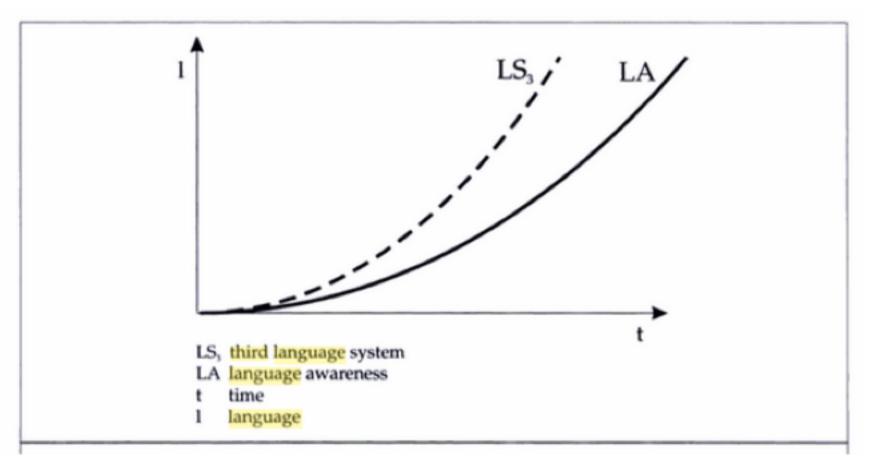
Learning New Languages

"If, for the sake of argument, we consider fluency to be the same as being an "expert" in speaking a language, then a learner may well invest 10,000 hours in language studies to attain fluency."

[Cenoz2001]



Learning New Languages



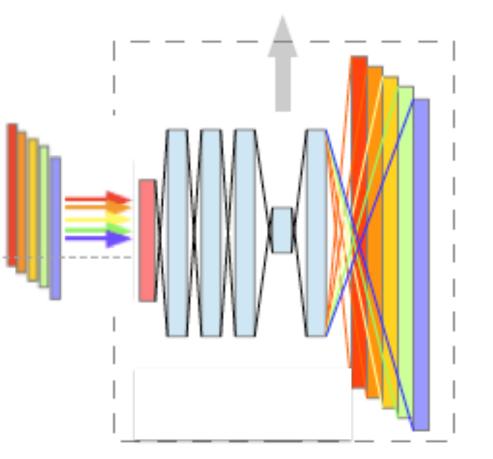
- It takes thousands of hours of exposure to learn a second language
- Third language learning may be somewhat faster, with even more ease for more languages
- Very little quantification exists, especially for 3+ languages

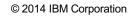


Learning New Languages – Speech Recognition

- Human perception suggests we need 10000 hours of speech
- Perceptual evidence humans leverage knowledge from other languages. Can machines?
- Babel program looked at this for small-scale amounts of training data but lots of languages (28)

Multilingual Representation





[Cui2015] IB] Learning New Languages – Speech Recognition Target Language Human perception suggests we need 10000 hours of speech Multilingual Representation · Perceptual evidence humans leverage knowledge from other languages. Can machines? Babel program looked at this for small-scale amounts of training data but lots of languages (28)



Learning New Languages – Speech Recognition Target Language Human perception suggests we need 10000 hours of speech Multilingual Representation · Perceptual evidence humans leverage knowledge from other languages. Can machines? Babel program looked at this for small-scale amounts of training Fine data but lots of Tuning languages (28)



Performance vs. Number of Languages

# of Languages	Training Data (Hours)	WER	
1	41	62.3	
		w/o Fine Tuning	w Fine tuning
11	601	59.6	
17	834	57.2	55.4
24	1110	56.5	
28	1793	56.2	55.1

Javanese, 41 hours of training data

- More languages seem to help performance
- Less clear what happens when we build systems with much more data



Summary

- With a lot of domain-specific data, we can now build systems that rival human performance in that domain.
 - -Driven by advances in Deep Learning
- Noise and reverberation robustness seems to have made serious strides as well in terms of being comparable to humans
 - -Techniques include multi-style training and multi-microphone processing
- In other areas Humans still seem to be much more capable
 - -Adapt quickly to accents
 - -More flexible in handling a wide variety of domains
 - -Learn languages robustly with considerably less data
- Extremely informal speech such as what we see in meetings is still very challenging –No surprise, given the extent to which the acoustic properties of the speech change!

Conclusion: There is still a lot of things for speech recognition researchers to work on!!!



Teşekkür ederim!



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